1 Problem

In 1937, Majorana [1] remarked that a possible variant Dirac 4-spinor states [2] of electrically neutral, spin-1/2 particles is that they are their own antiparticles, and suggested that neutrinos may be a realization of this in Nature. Discuss how light neutrinos in the Glashow-Weinberg-Salam Standard Model of the electroweak interaction [3, 4, 5] are excluded by existing data from being Majorana states.

2 Solution

The essence of the solution is that neutrinos and antineutrinos belong to different weak-isospin multiplets in the Glashow-Weinberg-Salam model, and have opposite weak hypercharge, so that combining neutrinos and antineutrinos into a single Majorana state is incompatible with this model.¹

2.1 Majorana States of the Form \((\nu_L + \bar{\nu}_L)/\sqrt{2}\) Are Excluded by Data

To show specific disagreements between the concept of Majorana states of the known, light neutrinos and experimental data, interpreted in the Glashow-Weinberg-Salam model, we need to recall some details about spin-1/2 particle/antiparticle states.

2.1.1 Dirac Spinors

Dirac 4-spinors \(\psi\) for a spin-1/2 particle (or antiparticle) of mass \(m\) obey Dirac’s equation,

\[
i\gamma^\mu \partial_\mu \psi = m\psi
\]  

¹Electrons and positrons cannot be combined into a Majorana state because the electron and positron have opposite electric charge. Similarly, a lefthanded (chirality) neutrino and a lefthanded antineutrino cannot be combined into a Majorana state because the lefthanded neutrino has weak hypercharge -1 while a lefthanded antineutrino has weak hypercharge 0. Also, a lefthanded neutrino and a righthanded antineutrino cannot be combined into a Majorana state because the righthanded neutrino has weak hypercharge 1.

In more generality, as noted by Yang and Mills [6], fermions that interact in a gauge theory have a gauge charge that is opposite for those particles and their antiparticles. Hence, fermions (and antifermions) that interact in a gauge theory cannot form Majorana states.

It remains that the noninteracting (“sterile”) righthanded neutrinos and lefthanded antineutrinos of the Standard Model could form (noninteracting) Majorana states.
(in units with $c = 1 = \hbar$) where the $4 \times 4$ matrices $\gamma^\mu$, $\mu = 0, 1, 2, 3$ have the anticommutation relations
\[ \gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2\eta^{\mu\nu} I_4 \quad \Rightarrow \quad (\gamma^0)^2 = I_4, \quad (\gamma^i)^2 = -I_4, \quad (2) \]
the diagonal matrix $\eta^{\mu\nu}$ has diagonal elements 1, $-1$, $-1$, $-1$, and $I_4$ is the unit $4 \times 4$ matrix.\(^2\) Plane-wave-spinor states with energy $E > 0$, 3-momentum $p$, 4-momentum $p = p_\mu = (E, \mathbf{p})$ are considered to be particles if their spacetime dependence is $e^{-ipx} = e^{-ip_\mu x^\mu} = e^{i(Et - \mathbf{p} \cdot \mathbf{x})}$, and are called antiparticles if their spacetime dependence is $e^{ipx}$. The antiparticle states are the “negative-energy” particle states interpreted by Dirac as “holes,” i.e., the absence of a particle [7, 8],\(^3\) and later interpreted by Stueckelberg [9, 10] and Feynman [11, 12] as positive-energy particle moving backwards in time. Particle spinors will sometimes be symbolized by $u$, and antiparticle spinors by $v$.

### 2.1.2 Electric Charge Conjugation

Pauli introduced the concept of electric charge conjugation of Dirac spinors in 1936 [13, 14],\(^4\) such that
\[ \psi^C = i\gamma^2 \psi^* \quad (4) \]
is the charge-conjugate state to spinor $\psi$, with the same energy, momentum and spin components as $\psi$, but if $\psi$ is a particle plane-wave state with spacetime wavefunction $e^{-ipx}$, then $\psi^C$ is an antiparticle state with spacetime wavefunction $e^{ipx}$, and vice versa. If state $\psi$ has electric (or weak hypercharge) charge $q$, then $\psi^C$ has electric (or weak hypercharge) charge $-q$.

### 2.1.3 Majorana States

Pauli noted in eqs. (99)-(100) of [14] that any Dirac 4-spinor $\psi$ can be expressed as the sum of two Majorana states, $\psi_1$ and $\psi_2$, which are composed of $\psi$ and its charge-conjugate (antiparticle) state $\psi^C$ [13],
\[ \psi = \frac{\psi_1 + i\psi_2}{\sqrt{2}}, \quad \psi_1 = \frac{\psi + \psi^C}{\sqrt{2}}, \quad \psi_2 = \frac{\psi - \psi^C}{\sqrt{2}i}, \quad \psi^C = i\gamma^2 \psi^*, \quad \psi_{1,2}^C = \psi_{1,2}. \quad (5) \]

\[ \begin{pmatrix} \gamma^0 \\ \gamma^i \end{pmatrix} = \begin{pmatrix} I_2 & 0 \\ 0 & -I_2 \end{pmatrix}, \quad \gamma^i = \begin{pmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{pmatrix}, \quad \gamma^i \gamma^k = -i\epsilon^{ikl} \begin{pmatrix} \sigma_l & 0 \\ 0 & \sigma_l \end{pmatrix}, \quad (3) \]

where $I_2$ is the $2 \times 2$ unit matrix, and $\sigma_i$, $i = 1, 2, 3$ are the Pauli spin matrices. Some details of spinors in this and other representations are given in the Appendix.

\(^2\)A particular representation of the $\gamma$-matrices was given by Dirac [2],
\[ \gamma^0 = \begin{pmatrix} I_2 & 0 \\ 0 & -I_2 \end{pmatrix}, \quad \gamma^i = \begin{pmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{pmatrix}, \quad \gamma^i \gamma^k = -i\epsilon^{ikl} \begin{pmatrix} \sigma_l & 0 \\ 0 & \sigma_l \end{pmatrix}, \quad (3) \]
where $I_2$ is the $2 \times 2$ unit matrix, and $\sigma_i$, $i = 1, 2, 3$ are the Pauli spin matrices. Some details of spinors in this and other representations are given in the Appendix.

\(^3\)Dirac interpreted electron “hole” states as protons in [7], and as what are now called positrons in [8]. The later paper also introduced the Dirac magnetic monopole.

\(^4\)In gauge theories with other kinds of charge than electric charge, we must consider other charge conjugation operators, as in sec. 2.3 for the Glashow-Weinberg-Salam model.
For the Majorana theory to be more than the identity (5), in view of relations (6)-(7), it must be that the Dirac state $\psi$ is replaced by either Majorana state $\psi_1$ or $\psi_2$. Here, we suppose that, in the Majorana theory, a neutrino (or antineutrino) state $\psi$ in the Dirac theory is instead the Majorana state $\psi_1 = (\psi + \psi^C)/\sqrt{2}$.

### 2.1.4 $V - A$ Theory and Majorana Chirality States

The Glashow-Weingberg-Salam model builds on the $V - A$ theory [15, 16, 17], that only lefthanded-chirality states participate in the weak interaction.\(^5\)

Already in 1929, Weyl [19] had commented that the right- and lefthanded chirality states $\psi_{R,L}$ of Dirac 4-spinors $\psi$ might play an important role in physics.\(^6\) But, because these states are not invariant under space inversion (parity), they were initially not considered to be physically relevant.\(^7\) These states are the eigenstates of the chirality projection operator,

$$P_{R,L} = \frac{I_4 \pm \gamma^5}{2}, \quad \psi_{R,L} = \frac{I_4 \pm \gamma^5}{2} \psi_{R,L} \quad \text{(8)}$$

where $\gamma^5 = i\gamma^0\gamma^1\gamma^2\gamma^3$, which anticommutes with the $\gamma^\mu$: $\gamma^5\gamma^\mu = -\gamma^\mu\gamma^5$ for $\mu = 0, 1, 2, 3$.\(^8\)

The convention is that (neutrino) particle chirality states $\nu_{R,L}$ are eigenstates of the chirality projection operator $P_{R,L}$, but (neutrino) antiparticle chirality states $\bar{\nu}_{R,L}$ are eigenstates of $\bar{P}_{L,R}$

$$\nu_{R,L} = \frac{I_4 \pm \gamma^5}{2} \nu_{R,L}, \quad \bar{\nu}_{R,L} = \frac{I_4 \mp \gamma^5}{2} \bar{\nu}_{R,L} \quad \text{(10)}$$

In this convention, $\bar{\nu}_{L,R}$ is the antiparticle of $\nu_{L,R}$,

$$\left(\nu_{R,L}\right)^C = i\gamma^2 \nu_{R,L}^* = i\gamma^2 \frac{I_4 \pm \gamma^5}{2} \nu_{R,L}^* = \frac{I_4 \mp \gamma^5}{2} i\gamma^2 \nu_{R,L} = \frac{I_4 \mp \gamma^5}{2} \bar{\nu}_{R,L} = \bar{\nu}_{R,L} \quad \text{(11)}$$

noting that in the Dirac representation, $\gamma^{5*} = \gamma^5$.\(^9\)

Then, Majorana chirality states $\psi_1$ of eq. (6) have the forms

$$\psi_L = \frac{\nu_L + \left(\nu_L\right)^C}{\sqrt{2}} = \frac{\nu_L + \bar{\nu}_L}{\sqrt{2}}, \quad \psi_R = \frac{\nu_R + \left(\nu_R\right)^C}{\sqrt{2}} = \frac{\nu_R + \bar{\nu}_R}{\sqrt{2}} \quad \text{(13)}$$

\(~\text{Righthanded-chirality neutrinos have no interactions in the Standard Model (although they interact gravitationally), and can be called sterile neutrinos [18].}\)

\(~\text{The term chirality as applied to spinors first appeared in [20].}\)

\(~\text{See, for example, p. 226 of [21].}\)

\(~\text{In any representation, } (\gamma^5)^2 = I_4. \text{ In the Dirac representation,}\)

$$\gamma^5 = \begin{pmatrix} 0 & I_2 \\ I_2 & 0 \end{pmatrix} \quad \text{(9)}$$

\(~\text{Because } \gamma^5 \text{ anticommutes with the } \gamma^\mu, \text{ the chirality states (8) do not obey the Dirac equation (1), but rather the coupled Dirac-like equations, } i\gamma^\mu \partial_\mu \psi_{R,L} = m\psi_{L,R}, \text{ noting that}\)

$$i\gamma^\mu \partial_\mu \psi_{R,L} = i\gamma^\mu \partial_\mu \frac{I_4 \pm \gamma^5}{2} \psi_{R,L} = \frac{I_4 \mp \gamma^5}{2} i\gamma^\mu \partial_\mu \psi_{R,L} = \frac{I_4 \mp \gamma^5}{2} m\psi_{L,R} = m\psi_{L,R} \quad \text{(12)}$$
2.1.5 \( W^\pm \) and \( Z^0 \) Decay Rates

A key feature is the \( 1/\sqrt{2} \) in the Majorana states (13), which factor seems to have been neglected in works like [22] that are often cited as showing how processes which could occur with Dirac neutrinos cannot distinguish these from Majorana neutrinos. Instead, if the weak coupling strength is the same in a theory with Dirac neutrinos and in one with Majorana neutrinos, then the amplitude for a reaction with a single neutrino would be \( 1/\sqrt{2} \) smaller in the Majorana theory.

If the electroweak coupling constants \( g \) (associated with the \( W^\pm \) bosons) and \( g' \) (associated with the \( Z^0 \) boson) of the Weinberg-Salam theory [4, 5] were the same for Majorana neutrinos as for Dirac neutrinos, then the rates for various single-neutrino reactions for Majorana neutrinos would be 1/2 that for Dirac neutrinos. This is excluded by many experiments. For example, in the theory with Dirac neutrinos, the decay width of the \( W^\pm \) gauge bosons is roughly 1/3 due to decays to leptons plus neutrinos, and 2/3 due to nonleptonic decays, which prediction agrees with experiment to 2% accuracy (see sec. 10.4.4 of [23]). For Majorana neutrinos the leptonic width would be only 1/2 those for Dirac neutrinos, and the \( W \) decay width would be reduced by 16%, which is excluded by 8 standard deviations. Similarly, for Dirac neutrinos the width of the \( Z^0 \) gauge boson is predicted to be 1/5 due to \( \nu\bar{\nu} \) decays and 4/5 due to other decays, which prediction agrees with data to 0.1%. For Majorana neutrinos, the rate \( Z^0 \rightarrow \nu\bar{\nu} \) would be 1/4 that for Dirac neutrinos, and the width of the \( Z \) would be reduced by 15%, which is excluded by 150 standard deviations.

However, it could be that the electroweak coupling constants \( g \) and \( g' \) are different in a theory with Majorana neutrinos than one with Dirac neutrinos. This possibility is compatible with Fermi’s theory of the weak interaction [24, 25], as was the best view at the time of Majorana, but is inconsistent with experimental data interpreted in the Glashow-Weinberg-Salam model of the electroweak interaction [3, 4, 5]. For example, if \( g \) were increased by \( \sqrt{2} \) to keep the leptonic decays rates of the \( W^\pm \) the same for Majorana neutrinos as for Dirac neutrinos, then the nonleptonic rates would be doubled, in conflict with experiment. Further, the ratio of the electroweak coupling constants is constrained by observation of the masses of the weak vector bosons,

\[
\frac{m_W}{m_Z} = \cos \theta_W = \frac{g}{\sqrt{g^2 + g'^2}} = \frac{1}{\sqrt{1 + (g'/g)^2}},
\]

where \( \theta_W \) is the Weinberg angle [4]. Hence, if \( g \) is altered in a theory with Majorana neutrinos compared to that with Dirac neutrinos, \( g' \) must be altered by the same factor. However, then the rates for the decay of \( Z^0 \) to states other than \( \nu\bar{\nu} \) would be the changed by the square of that factor, in severe disagreement with experiment.

In sum, the factor of \( 1/\sqrt{2} \) in a Majorana neutrino state of neutrino and antineutrino implies incompatibilities with experimental data, whether the electroweak coupling constants are the same or different in a theory with Majorana, rather than Dirac neutrinos. Past experiments exclude that the observed light neutrinos are Majorana states.
2.2 “Neutrinoless” Double-Beta Decay

In Majorana’s time the theory of the weak interaction was that due to Fermi [24, 25]. An early application of Majorana’s vision to Fermi’s theory was by Furry [26], who pointed out that Majorana neutrinos permit the phenomenon of “neutrinoless” double-beta decay, \( A(Z) \rightarrow A'(Z+2)e^-e^- \), of a nucleus \( A(Z) \), in addition to the 2-neutrino double-beta decay \( A(Z) \rightarrow A'(Z+2)e^-e^-\nu\bar{\nu} \) studied by Goeppert-Mayer [27]. In the Fermi theory, one expects the matrix elements for the two forms of double-beta decay to be similar, but the 5-body final state of 2-neutrino double-beta decay has much smaller phase volume than does the 3-body final state of neutrinoless double-beta decay, so Furry predicted that the latter has much higher rate (shorter lifetime). Present experimental limits [28, 29] on the lifetime for neutrinoless double-beta decay are several orders of magnitude longer than that of observed 2-neutrino double-beta decays.

A diagram for “neutrinoless” double-beta decay appear on the next page, where the \( X \) on the virtual-neutrino line indicates that this is both a neutrino and an antineutrino, in Majorana’s view.

2.3 Majorana States of the Form \((\nu_L + \bar{\nu}_R)/\sqrt{2}\) Are Excluded by Data

There is an ambiguity as to what is meant by a Majorana neutrino state in a \( V - A \) theory of the weak interaction [15, 16], where the lefthanded coupling is only to the lefthanded neutrino \( \nu_L = (1 - \gamma^5)\nu/2 \) and the righthanded antineutrino \( \bar{\nu}_R = (1 - \gamma^5)\bar{\nu}/2 \). It could be that we should consider a lefthanded Majorana state to be the combination\(^{10}\)

\[
\psi_L = \frac{1 - \gamma^5}{2} \frac{\nu + \bar{\nu}}{\sqrt{2}} = \frac{\nu_L + \bar{\nu}_R}{\sqrt{2}},
\]

rather than eq. (13) as in sec. 2.1.4. Then, there would be no coupling to the other Majorana neutrino state, \( \psi_R = (\nu_R + \bar{\nu}_L)/\sqrt{2} \).

Indeed, in the Glashow-Weinberg-Salam model, the \( \bar{\nu}_R \) is the antiparticle of the \( \nu_L \) (and the \( \bar{\nu}_L \) is the antiparticle of the \( \nu_R \)). That is, if we introduce the charge conjugation operator \( C_W = \gamma^5 C \) of the Standard model, then \( \bar{\nu}_{R,L} = C_W \nu_{L,R} \).

However, many experiments rule out the possibility that a Majorana state (15) occurs in Nature.

2.3.1 Charged-Pion Decay

In all neutrino experiments to date, except the PTOLEMY search for cosmic microwave background neutrinos [31], the neutrinos are ultrarelativistic \((E_\nu \gg m_\nu)\) such that their chirality

\(^{10}\)The form (15) was considered in [30], shortly before the \( V - A \) theory was devised.
states are essentially helicity states as well, $\nu_L \approx \nu_-$ and $\nu_R \approx \bar{\nu}_+$. In the $V - A$ theory with Dirac neutrinos, the $\nu_L$ is paired with a righthanded-chirality charge anti lepton $l^+_R$, while the $\bar{\nu}_R$ is paired with $l^+_L$, in a production reactions such as $\pi^+ \rightarrow l^+_R \nu_L$ and $\pi^- \rightarrow l^+_L \bar{\nu}_R$. In the decay of a spinless charged pion, the lepton and neutrino must have the same helicity. For (relativistic) neutrinos, this restricts the possibilities to $\pi^- \rightarrow l^+_R \bar{\nu}_L$ and $\pi^- \rightarrow l^+_L \bar{\nu}_R$, where $l^+_R$ is a righthanded-chirality, negative-helicity antilepton, etc. If the (anti)leptons were relativistic, as for electrons/positrons in pion decay, their right(left)handed-chirality states would be essentially positive(negative) helicity, such that charged-pion decay electrons/positrons is suppressed. In contrast, muons from charged-pion decay have only 4-MeV kinetic energy in the frame of the pion, such that a muon chirality state is roughly an equal mixture of positive and negative helicity states, which permits decay to the “wrong helicity” muons with high probability.

For Majorana neutrinos, charged-pion decay could also proceed via $\pi^+ \rightarrow l^+_R \bar{\nu}_R \approx l^+_R \bar{\nu}_L$, and $\pi^- \rightarrow l^+_L \nu_R \approx l^+_L \nu_L$, which violate lepton number conservation. Charge-pion decay to electrons/positrons would not be suppressed in these “right helicity” decays, and the decay rates to electrons and muons would be roughly equal, rather than in the observed ratio of $(m_e/m_\mu)^2$ [17].

For Majorana neutrinos, the charge-pion decay $\pi \rightarrow \mu \nu$ would have roughly equal numbers of muons with positive and negative helicity, and hence would exhibit little parity violation, in contrast to experiments [32, 33] that observe “maximal” parity violation.

2.3.2 Interactions of Neutrinos from Charged-Pion Decay

In case of Dirac neutrinos, $\pi^+ (\pi^-)$-decay leads primarily to $\nu_\mu (\bar{\nu}_\mu)$, whereas for Majorana neutrinos roughly equal numbers of $\nu_\mu$, $\bar{\nu}_\mu$ and $\bar{\nu}_e$ ($\bar{\nu}_\mu$, $\nu_\mu$ and $\nu_e$) would be produced. The Majorana-neutrino hypothesis conflicts with evidence from “neutrinoless” double-beta-decay experiments with neutrino beams from charged-pion decay (the first beta decay), where in particular charged-current (anti)neutrino-nucleon scattering reactions (the second, inverse beta decay) would have equal cross sections for nominal $\nu_\mu N$ and $\bar{\nu}_\mu N$ initial states if the neutrinos were Majorana rather than Dirac. Rather, data from a large number of experiments, reviewed in Fig. 49.1 of [34], show that the observed ratio of these cross sections is 2:1, in agreement with models based on Dirac neutrinos.

2.3.3 Leptonic Beta Decay

Leptonic beta-decay, such as $\mu^- \rightarrow e^- \nu e$, can be well-calculated in the Standard $V - A$ theory, where the reaction reads $\mu^- \rightarrow e^- \bar{\nu}_e \nu_{\mu L}$, with results that agree with experiment
to within a few percent (as noted already in [15]). If the neutrinos were Majorana states (15), one might infer that the muon lifetime would be 4 times longer than if they are Dirac states, noting that the electron is relativistic in muon decay, such that only one of $\nu$ or $\bar{\nu}$ couples at each vertex in the decay diagram, leading to a factor of 1/2 in the decay amplitude compared to that for Dirac neutrinos. However, as pointed out in the last line of [35], in case of Majorana neutrinos there exists a second, crossed diagram, in which the “second” neutrino comes from the muon vertex rather then the electron vertex, while the “first” neutrino comes from the electron vertex. These two diagrams have equal amplitudes, each 1/2 that of the single diagram in case of Dirac neutrinos, and hence the lifetime of the muon (and Fermi’s coupling constant $G$) is the same for either Dirac or Majorana neutrinos. For additional discussion of this issue, including possible distinguishing effects in the decay angular distribution, see [36, 37].

2.3.4 Helicity of the Neutrino

The neutrino was determined to have negative helicity in an extrêmement ingénieuse experiment [38] on the electron-capture process $^{63}\text{Eu}^{152} + e^- \rightarrow ^{62}\text{Sm}^{152} + \nu$, in which the neutrino energy is 840 keV and the captured electron was bound by about 100 keV. The lefthanded chirality electron had nearly equal probability to have either positive or negative helicity, such that Majorana neutrinos and antineutrinos would have roughly equal probability of being produced, and the net helicity of the final state neutrino would be near zero. The latter was excluded by about four standard deviations in the experiment, which translates into a similar exclusion of Majorana neutrinos.

2.3.5 Neutrino Experiments at Nuclear Reactors

Turning to nuclear-reactor experiments, in the Standard Model of beta-decay (with Dirac neutrinos) only antineutrinos are produced in reactions of the form $n \rightarrow p e^- \bar{\nu}_e$ (while only neutrinos are produced in solar fusion reactions). Hence, most reactor-based neutrino experiments are designed to observed the inverse beta-decay reaction $\bar{\nu}_e p \rightarrow e^+ n$ [39], where the neutron can be detected as a delayed coincidence after thermalization by capture on nucleus, as first proposed by Cowan and Reines [40, 41]. However, the earliest proposal to detect neutrinos produced in nuclear reactors was due to Pontecorvo [42], who supposed that neutrinos and antineutrinos were not distinct (i.e., were Majorana states), such that reactor neutrinos could lead to reactions such as $^{37}\text{Cl}(\nu, e^-)A^{37}$, which require a neutrino rather than an antineutrino.

Davis’ Reactor-Neutrino Experiment

Pontecorvo’s suggestion was implemented by Davis [43, 44] in a 4-m$^3$ detector close to the Brookhaven Lab nuclear reactor, but only 6 m underground, with a result consistent with the rate of interactions expected from cosmic-ray electron neutrinos. Nonetheless, this
result has been considered as evidence against Majorana neutrinos [45]. Davis’ reactor-experiment search for Majorana neutrinos has never been repeated, although he went on to make important measurements of solar neutrinos (not antineutrinos) via the chlorine reaction in a 400-m$^3$ detector deep underground [46].

**Solar-Neutrino Experiments**

Solar-neutrino experiments other than Davis’ have searched for a inverse beta-decay events, $\bar{\nu}_e p \rightarrow e^+ n$, producing limits [47, 48, 49] that the solar-antineutrino flux is small compared to that of solar neutrinos, which appears to exclude that solar neutrinos are Majorana states, although a quantitative assessment of the exclusion remains to be made. Note that solar neutrino experiments are “neutrinoless” double-beta-decay processes in which the “virtual” neutrino lives for about 8 minutes.

**Recent Reactor-Neutrino Experiments**

Following the success of Cowan and Reines’ reactor-antineutrino experiment [50, 51] (which also is a type of “neutrinoless” double-beta-decay process with a “virtual” neutrino in the intermediate state but no neutrino in the final state), and the experimental evidence that the electron and muon are associated with different neutrinos [52], Pontecorvo suggested [18] the possibility of oscillations between the two (or more) neutrino types. Initial experiments used a single detector at a single distance from a nuclear reactor, and compared the rate of detected antineutrino interactions (inverse beta-decay) with expectations based on the neutrino flux as inferred from the reactor power. The first experiment of this type to obtain a reasonably significant result was [53] which reported (1981) a ratio $0.955 \pm 0.035$ (stat.) $\pm 0.110$ (syst.) of the observed to expected rates of inverse beta-decay reactions. It is now known that for a detector at the optimal distance from the reactor the observed rate would be 0.91 of that expected in the Standard Model assuming no oscillations [54]. A recent result [55], taking into account the existence of neutrino oscillations, found a ratio $0.946 \pm 0.022$ of the observed rate of inverse beta-decay reactions compared to that of a particular model of the beta-decays in the nuclear reactor complex.

If the neutrinos produced by the nuclear reactor were lefthanded Majorana states (15), for a given flux of such neutrinos the rate of inverse beta-decay $\bar{\nu}_R p \rightarrow e^+ n$ would be only 1/2 that from Dirac neutrinos, as lefthanded Majorana neutrinos have only a 50% probability of being a righthanded antineutrino. Hence, reactor experiments that study inverse beta-decay have excluded that the (low-mass) neutrinos produced in nuclear beta decays are Majorana states, by 5 standard deviations in 1981 [53] and more recently by 20 standard deviations [55].

**2.4 Comments**

Despite lack of experimental evidence for Majorana neutrinos, enthusiasm for their existence remains high in view of the “see-saw” mechanism [57, 58, 59, 60] that provides a possible...
explanation for low-mass Majorana neutrinos together with partners of mass at the grand-unification scale. A conclusion of this paper is that one must look further for explanations of the low mass of observed neutrinos.

The observed light neutrinos seem well described by the electroweak gauge theory with $W^{\pm}$ and $Z^0$ bosons, in which theory interacting Majorana neutrinos are forbidden, it could be that there exist $X^{\pm}$ bosons and $Y^0$ Majorana fermions that obey a non-gauge theory, in which neutrinoless double-beta decay is possible.\(^\text{11}\)

![Diagram showing the decay process](image)

It could be that the $Y^0$ fermions have low mass as per a see-saw mechanism. But, the $X^{\pm}$ bosons would have to be heavy, as decays like $n \rightarrow pX^- \rightarrow ne^-Y^0$ seem not to be observed. Decays like $\pi^\pm \rightarrow X^\pm \rightarrow \mu^\pm Y^0$ would be forbidden due to violation of conservation of angular momentum, unless the $X^{\pm}$ bosons had spin 0.

In this case, the rate for the neutrinoless double-beta decay would be heavily suppressed. Similarly, if the $X^{\pm}$ bosons were relatively light while the $Y^0$ fermions were heavy, the decay rate would also be heavily suppressed.

Neutrinoless double-beta decay is unlikely to be observed soon.

Quasiparticles labeled Majorana fermions have been reported in condensed-matter experiments [62, 63]. These nonpropagating “Majorana zero modes” have only one spin state, with a participating electron that is shared between two surfaces of the sample. Such states have been described [64] as “spin-zero half-fermions,” that have only electromagnetic interactions, and are rather different entities than the weakly interacting Majorana-neutrino chirality states considered here.

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\(^{11}\)If neutrinoless double-beta decay occurs, it must proceed via exchange of a particle that is effectively a Majorana state [61].

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R. Davis, Jr, Attempt to Detect the Antineutrinos from a Nuclear Reactor by the $^{137}\text{Cf}(\nu, e^-)^{137}\text{A}$ Reaction

R. Davis, Jr, An Attempt to Observe the Capture of Reactor Neutrinos in Chlorine-37


In a model of neutrinoless double-beta decay via the exchange of a virtual, lefthanded Majorana neutrino, the spin-1/2 propagator is combined with two factors of $1 - \gamma^5$, leading to a factor in the matrix element of $(1 - \gamma^5)(\not{q} - m_\nu)(1 - \gamma^5) = -2m_\nu(1 - \gamma^5)$. Hence, the rate includes a factor of $(m_\nu/E_\nu)^2$, where $m_\nu = \sum_i U_{\alpha i}^2 m_i$ in a 3-neutrino scenario with neutrino mass states $m_i$ and MNS mixing matrix $U_{\alpha i}$, and $E_\nu$ is a characteristic energy of the virtual neutrino. This factor is small, which would heavily suppress the rate of neutrinoless double-beta decay compared to the early estimate of Furry [26] if Majorana neutrinos existed.


[60] P. Minkowski, $\mu \rightarrow e\gamma$ at a Rate of One out of $10^9$ Muon Decays?, Phys. Lett. B 67, 421 (1977),

[61] J. Schechter and J.W.F. Valle, Neutrinoless double-$\beta$ decay in SU(2) $\times$ SU(1) theories, Phys. Rev. D 25, 2951 (1982),


[64] F.D.M. Haldane, private communication.

[65] The presently accepted value of Fermi’s constant $G$ is about 4 times larger than that inferred in 1949 from muon decay, $\mu \rightarrow e\nu\nu$, presumably because it was thought earlier that the final-state neutrinos could each have two spin states, rather than being only lefthanded as in the $V - A$ theory. See T.D. Lee, M. Rosenbluth and C.N. Yang, Interaction of Mesons with Nucleons and Light Particles, Phys. Rev. 75, 905 (1949),


[67] T.D. Lee and C.N. Yang, Parity Nonconservation and a Two-Component Theory of the Neutrino, Phys. Rev. 105, 1671 (1957),


[69] Some people (for example, [22]) define the Majorana neutrino chirality states to be self-conjugate, with the consequence that such states contain all four of $u_R$, $u_L$, $v_R$ and $v_L$. However, in the $V - A$ theory of the weak interaction only the $u_L$ and $v_R$ participate,
such that these self-conjugate states are not well “matched” to the formalism of the weak interaction.

[70] Some people emphasize the Majorana helicity states $\psi_{\pm} = \bar{\psi}_{\pm} = (u_{\pm} + v_{\pm})/\sqrt{2}$ where $v$ is the antiparticle of $u$. Then, in the $V - A$ theory of the weak interaction, only the states $(1 - \gamma^5)\psi_{\pm}/2$ participate. This leads to the same conclusions as in the text, but the arguments are slightly longer.
